

02-02-04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(d))

Docket No.
 15436.252.3.1

In Re Application Of: **Klotz, et al.**

JAN 30 2004



Serial No.
 10/661,907

Filing Date
 September 12, 2003

Examiner
 Unknown

Group Art Unit
 Unknown

Title: **NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR MULTIPLE PROTOCOLS**

Address to:

Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.252.3.1

In Re Application: Klotz, et al.

JAN 30 2004
PATENT & TRADEMARK OFFICE

Serial No.

10/661,907

Filing Date

September 12, 2003

Examiner

Unknown

Group Art Unit

Unknown

NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR MULTIPLE PROTOCOLS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

R. Burns Israelson
Signature

Dated: January 30, 2004

R. Burns Israelson

Reg. No. 42,685

Customer No. 022913

CC:



PATENT APPLICATION

Docket: 15436.252.3.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|----------------------|----------------------------------------------------------------------|---|----------|
| In re application of | Klotz, et al. |) | |
| Serial No.: | 10/661,907 |) | Art Unit |
| Filed: | September 12, 2003 |) | |
| For: | NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR MULTIPLE PROTOCOLS |) | |
| Examiner: | Unassigned |) | |

EXPRESS MAILING LABEL EV 382940805 US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Box: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated this 30 day of January 2004.

- Transmittal for Information Disclosure Statement (2 pgs.)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 (2 pgs.)
- Postcard

Respectfully submitted,

Kimberly Kendrick
Paralegal to R. Burns Israelsen

EXPRESS MAIL

O I P E S C 1 9

JAN 30 2004

PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Klotz, et al.

Art Unit

NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR MULTIPLE PROTOCOLS

Examiner: Unassigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, patent applications, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

1

of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

The accompanying Form PTO-1449, notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

DATED this 30 day of January, 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "R. Burns Israelsen".

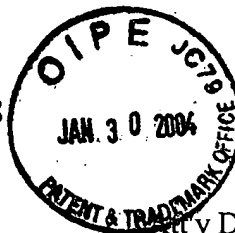
R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: kk

W:\15436\252.3.1\KKK0000001781V001.doc

EXPRESS MAIL LABEL NO.: EV 382940805 US

Form PTO-1449



Sheet 1 of 2

Applicant: Klotz, et al.

Serial No.: 10/661,907

Filing Date: September 12, 2003

For: NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR
MULTIPLE PROTOCOLS

Priority Docket No.: 154326.252.3.1

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

| <u>Inventor(s)</u> | <u>Application Number</u> | <u>Filing Date</u> |
|--------------------|---------------------------|--------------------|
| Klotz, et al. | 10/661,705 | September 12, 2003 |
| Klotz, et al. | 10/661,967 | September 12, 2003 |
| Klotz, et al. | 10/661,716 | September 12, 2003 |
| Klotz, et al. | 10/661,764 | September 12, 2003 |
| Klotz, et al. | 10/661,901 | September 12, 2003 |
| Klotz, et al. | 10/661,200 | September 12, 2003 |

U.S. Patent Documents

| <u>Examiner</u> | <u>Patent</u> | <u>Issue</u> | <u>Name</u> | <u>Filing</u> |
|-----------------|---------------|--------------|-------------|---------------|
| <u>Initial*</u> | <u>Number</u> | <u>Date</u> | | <u>Date</u> |
| NONE | | | | |

Foreign Patent Documents

| <u>Examiner</u> | <u>Document</u> | <u>Publ.</u> | <u>Country or</u> | <u>Sub</u> | <u>Trans-</u> | |
|-----------------|-----------------|--------------|----------------------|--------------|---------------|---------------|
| <u>Initial*</u> | <u>Number</u> | <u>Date</u> | <u>Patent Office</u> | <u>Class</u> | <u>Class</u> | <u>lation</u> |
| NONE | | | | | | |

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner
Initial*

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 382940805 US

Form PTO-1449

Applicant: Klotz, et al.

Serial No.: 10/661,907

Filing Date: September 12, 2003

For: NETWORK ANALYSIS SCALABLE ANALYSIS TOOL FOR
MULTIPLE PROTOCOLS



Sheet 2 of 2

Att'y Docket No.: 154326.252.3.1

NONE

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\15436\252.3.1\K\K0000001783V001.doc

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.